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Total Number of Pages in This Submission

Application Number 10/824957-Conf. #7461

Filing Date April 14, 2004

First Named Inventor Arthur D. Ballard

Art Unit N/A

Examiner Name Not Yet Assigned

Attorney Docket Number 10884-00023-US

ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/Incomplete Application☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):PTO/SB/08
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name CONNOLLY BOVE LODGE & HUTZ LLP

Signature *Liza D. Hohenschutz*

Printed name Liza D. Hohenschutz

Date August 12, 2005

Reg. No. 33,712



Docket No.: 10884-00023-US
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Arthur D. Ballard et al.

Application No.: 10/824957

Confirmation No.: 7461

Filed: April 14, 2004

Art Unit: N/A

For: DELIVERY SYSTEMS OF HOMOGENEOUS,
THERMOREVERSIBLE ALGINATE FILMS

Examiner: Not Yet Assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

An English language abstract and partial translation of the following published Japanese applications is provided: JP 01-143827, JP 05-43471, JP 09-25228, JP 2001-39863, and JP 2003-299714.

A partial translation of the following published applications is provided: JP 2005-112849 and JP 2005-508359.

WO 01/03677 is an English language counterpart of JP 2003-504326. U.S. Patents 6,340,473 and 6,582,727 also appear to be counterparts of WO 01/03677.

US 2005/0037064 is an English language counterpart of JP 2005-513255.

WO 03/035044 is a counterpart of JP 2005-508359. WO 03/035044 is in the German language, but contains an English language abstract on the front page.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be

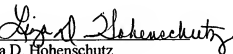
Application No.: 10/824957

Docket No.: 10884-00023-US

filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 10884-00016-US. A duplicate copy of this paper is enclosed.

Dated: *August 12, 2005*

Respectfully submitted,

By 

Liza D. Hohenschutz

Registration No.: 33,712

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PTO/SB/92 (09-04)

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Application No. (if known): 10/824957

Attorney Docket No.: 10884-00023-US

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IDS (Citation) by Applicant (1 page)
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Substitute for form 1449A/B/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/824957-Conf. #7461		
		Filing Date	April 14, 2004		
		First Named Inventor	Arthur D. Ballard		
		Art Unit	N/A		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	10884-00023-US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/E.S.C.	AA*	US-2005/0037064	02-17-2005	Basquin et al.	
/E.S.C.	AB	US 6,340,473	01-22-2002	Tanner et al.	
/E.S.C.	AC	US 6,582,727	06-24-2003	Tanner et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
/E.S.C.	BA	JP-01-143827	06-06-1989	Fuji Capsule K.K.		
	BB	JP-05-43471	02-23-1993	Alfa Wasserman Spa		
	BC	JP-09-25228	01-28-1997	Eisai Co., Ltd.		
	BD	JP-2001-39863	02-13-2001	Fuji Oil Co., Ltd.		
	BE	JP-2003-299714	10-21-2003	Fuji Capsule KK		
	BF	JP-2003-504326	02-04-2003	R. P. Scherer Technologies, Inc.		See BK
	BG	JP-2004-167084	06-17-2004	Ina Food KK		
	BH	JP-2005-112849	04-28-2005	Fuji Capsule KK		
	BI	JP-2005-508359	03-31-2005	Innogel AG		
	BJ	JP-2005-513255	05-12-2005	Ulice and Pierre Fabre Medicament		See AA
	BK	WO-01/03677	01-18-2001	R. P. Scherer Technologies, Inc.		
/E.S.C.	BL	WO-03/035044	05-01-2003	Innogel AG		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(e)(2)(ii)) because that application was filed after June 30, 2003 or is available in the IPW. * Applicant's unique citation designation number (optional). * See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. * Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). * For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. * Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. * Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
/E.S.C.	CA	JP01-143827 - English Abstract (Micropatent) and partial English translation	
	CB	JP05-43471 - English Abstract (Micropatent) and partial English translation	
	CC	JP09-25228 - English Abstract (Micropatent) and partial English translation	
	CD	JP2001-39863 - English Abstract (Micropatent) and partial English translation	
	CE	JP2003-299714 - English Abstract (Micropatent) and partial English translation	
	CF	JP2004-167084 - English Abstract (Micropatent) and partial English translation	
	CG	JP2005-112849 - partial English translation	
/E.S.C.	CH	JP2005-508359 - partial English translation	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Elizabeth S. Capan/	Date Considered	02/01/2008
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